



Food and Drug Administration  
10903 New Hampshire Avenue  
Document Control Center – WO66-G609  
Silver Spring, MD 20993-0002

Olympus America, Inc.  
Two Corporate Center Drive  
Ms. Laura Storms-Tyler  
Director, Regulatory Affairs  
and Quality Assurance  
Melville, NY 11747-3157

JUL 27 2015

Re: K022270  
Trade/Device Name: Olympus Integrated Endosurgery  
System EndoALPHA (Control Unit for Endosurgery  
UCES-2), Models MAJ-1177, MA-2E and  
M-128/64/32/16/8PIE and PIU  
Regulation Number: 21 CFR 876.1500  
Regulation Name: Endoscope and accessories  
Regulatory Class: II  
Product Code: ODA, GCJ, FAL, FCG, FEH, FWF, GEI, HIF, IYO, ITX and LFL  
Dated (Date on orig SE ltr): July 12, 2002  
Received (Date on orig SE ltr): July 15, 2002

Dear Ms. Storms-Tyler,

This letter corrects our substantially equivalent letter of August 14, 2002.

We have reviewed your Section 510(k) premarket notification of intent to market the device referenced above and have determined the device is substantially equivalent (for the indications for use stated in the enclosure) to legally marketed predicate devices marketed in interstate commerce prior to May 28, 1976, the enactment date of the Medical Device Amendments or to devices that have been reclassified in accordance with the provisions of the Federal Food, Drug, and Cosmetic Act (Act) that do not require approval of a premarket approval application (PMA). You may, therefore, market the device, subject to the general controls provisions of the Act. The general controls provisions of the Act include requirements for annual registration, listing of devices, good manufacturing practice, labeling, and prohibitions against misbranding and adulteration. Please note: CDRH does not evaluate information related to contract liability warranties. We remind you, however, that device labeling must be truthful and not misleading.

If your device is classified (see above) into either class II (Special Controls) or class III (PMA), it may be subject to additional controls. Existing major regulations affecting your device can be

found in the Code of Federal Regulations, Title 21, Parts 800 to 898. In addition, FDA may publish further announcements concerning your device in the Federal Register.

Please be advised that FDA's issuance of a substantial equivalence determination does not mean that FDA has made a determination that your device complies with other requirements of the Act or any Federal statutes and regulations administered by other Federal agencies. You must comply with all the Act's requirements, including, but not limited to: registration and listing (21 CFR Part 807); labeling (21 CFR Part 801); medical device reporting (reporting of medical device-related adverse events) (21 CFR 803); good manufacturing practice requirements as set forth in the quality systems (QS) regulation (21 CFR Part 820); and if applicable, the electronic product radiation control provisions (Sections 531-542 of the Act); 21 CFR 1000-1050.

If you desire specific advice for your device on our labeling regulation (21 CFR Part 801), please contact the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638 2041 or (301) 796-7100 or at its Internet address <http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>. Also, please note the regulation entitled, "Misbranding by reference to premarket notification" (21CFR Part 807.97). For questions regarding the reporting of adverse events under the MDR regulation (21 CFR Part 803), please go to <http://www.fda.gov/MedicalDevices/Safety/ReportaProblem/default.htm> for the CDRH's Office of Surveillance and Biometrics/Division of Postmarket Surveillance.

You may obtain other general information on your responsibilities under the Act from the Division of Small Manufacturers, International and Consumer Assistance at its toll-free number (800) 638-2041 or (301) 796-7100 or at its Internet address <http://www.fda.gov/MedicalDevices/ResourcesforYou/Industry/default.htm>.

Sincerely yours,

**Benjamin R. Fisher -S**

Benjamin R. Fisher, Ph.D.  
Director  
Division of Reproductive, Gastro-Renal,  
and Urological Devices  
Office of Device Evaluation  
Center for Devices and Radiological Health

Enclosure

510(k) Number(if known): Not assigned yet K02 22 70

Device Name:

OLYMPUS INTEGRATED ENDOSURGERY SYSTEM EndoALPHA  
(CONTROL UNIT FOR ENDOSURGERY UCES-2)

Indications for Use:

The "OLYMPUS INTEGRATED ENDOSURGERY SYSTEM EndoALPHA (CONTROL UNIT FOR ENDOSURGERY UCES-2)" has been designed to be used with an Olympus endoscope and ancillary equipment for central operation, central display, automatic initial setting, interlocking of the ancillary equipment.

(PLEASE DO NOT WRITE BELOW THIS LINE - CONTINUE ON ANOTHER PAGE IF NEEDED)

Concurrence of CDRH, Office of Device Evaluation (ODE)

Prescription Use ☒

OR

Over-The-Counter Use ☐

(Per 21 CFR 801.109)

(Optional Format 1-2-96)

David A. Epperson  
(Division Sign-Off)

Division of Reproductive, Abdominal,  
and Radiological Devices

510(k) Number

K022270

AUG 14 2002

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**SMDA 510(k) SUMMARY**

**OLYMPUS INTEGRATED ENDOSURGERY SYSTEM EndoALPHA  
(CONTROL UNIT FOR ENDOSURGERY UCES-2)**

**A. Submitter's Name, Address, Phone and Fax Numbers**

Name & Address of manufacturer: Olympus Optical Co., Ltd.  
2-3-1 Shinjuku Monolis Nishi-Shinjuku,  
Shinjuku-ku Tokyo, Tokyo 163-0914  
Japan  
Registration No.: 8010047  
Address, Phone and Fax Numbers: 2951 Ishikawa-Cho,  
of R&D Department, Endoscope Hachioji-shi, Tokyo 192-8507  
Division Japan  
TEL 81-426-42-2891  
FAX 81-426-46-5613

**B. Name of Contact Person**

Name: Laura Storms-Tyler  
Address, Phone and Fax Numbers: Olympus America Inc.  
Two Corporate Center Drive  
Melville, New York 11747-3157  
TEL: (631) 844-5688  
FAX: (631) 844-5416

**C. Device Name, Common Name, Classification Name and Predicate Devices**

Trade Name: #K981993 OLYMPUS INTEGRATED ENDOSURGERY SYSTEM  
EndoALPHA (CONTROL UNIT FOR ENDOSURGERY UCES-2)

Common Name: ENDOSURGERY SYSTEM

Classification: 21 CFR 876.1500 Endoscope and accessories  
21 CFR 876.1075 Gastroenterology-urology biopsy instrument  
21 CFR 876.4300 Endoscopic electrosurgical unit and accessories  
21 CFR 878.4160 Surgical camera and accessories  
21 CFR 878.4400 Electrosurgical cutting and coagulation device and accessories  
21 CFR 884.1730 Laparoscopic insufflator  
21 CFR 892.1560 Ultrasonic pulsed echo imaging system  
21 CFR 892.1570 Diagnostic ultrasonic transducer  
No Class (Ultrasonic Surgical Instrument)

Predicate Device: OLYMPUS INTEGRATED ENDOSURGERY SYSTEM EndoALPHA  
(CONTROL UNIT FOR ENDOSURGERY UCES) K981993

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#### D. Description of the Device(s)

The "OLYMPUS INTEGRATED ENDOSURGERY SYSTEM EndoALPHA (CONTROL UNIT FOR ENDOSURGERY UCES-2)" has been designed to be used with an Olympus endoscope and ancillary equipment for central operation, central display, automatic initial setting, interlocking operation of the ancillary equipment.

The additional voice control function enables the subject device to control the ancillary equipment by voice, while the conventional products control them manually. You could find the details for voice commands and voice controllable equipment in "Standard set and ancillary equipment" and "Operation by voice control" of this section.

Addition of Image switching, recording and playback functions enables the followings:

- Images input into the UCES-2 and MAJ-1139 are displayed on two monitors by switching from one to another.
- Images input into the UCES-2 and MAJ-1139 are displayed on the MAJ-1176 as reference images.
- Still images input into UCES-2 and MAJ-1139 are recorded in a PC card (SmartMedia). The images recorded in the PC card (SmartMedia) are displayed on the MAJ-1176.

The intended use of the EndoALPHA is to enable a central system to control various pieces of ancillary equipment. However, the approved indications for use for each separate ancillary device dictate the type of procedures that may be performed. This information is included in the instruction manual for each ancillary piece of equipment.

#### E. Intended Use of the Device(s)

The "OLYMPUS INTEGRATED ENDOSURGERY SYSTEM EndoALPHA (CONTROL UNIT FOR ENDOSURGERY UCES-2)" has been designed to be used with an Olympus endoscope and ancillary equipment for central operation, central display, automatic initial setting, interlocking operation of the ancillary equipment.

This is the same intended use as previously cleared one for the "OLYMPUS INTEGRATED ENDOSURGERY SYSTEM EndoALPHA (CONTROL UNIT FOR ENDOSURGERY UCES)".

#### F. Summary including Conclusions drawn from Non-clinical Tests

The risk analysis was carried out in accordance with established in-house acceptance criteria based on ISO14971-1. The design verification that were performed as a result of this risk analysis assessment are listed below. Refer to Attachment 3 for detail.

Modification	Test performed	Acceptance Criteria
Added the Voice Operation Function	1.Voice recognition test We confirmed the voice recognition rate by some sample voice data that is recorded by members of Olympus America Inc.	1. Recognize all words that is in specifications.
	2.Wrong recognition test We confirmed whether EndoALPHA doesn't work by noise in operation room.	2. Never do wrong recognition.

## ATTACHMENT

Regulation Number: 21 CFR 876.1500

Regulation Name: Endoscope and accessories

Product Code: 78 KOG, GCJ and FAL

Regulation Number: 21 CFR 876.1075

Regulation Name: Gastroenterology-urology biopsy  
instrument

Product Code: 78 FCG

Regulation Number: 21 CFR 876.4300

Regulation Name: Endoscopic electrosurgical unit  
and accessories

Product Code: 78 FEH

Regulation Number: 21 CFR 878.4160

Regulation Name: Surgical camera and accessories

Product Code: 79 FWF

Regulation Number: 21 CFR 878.4400

Regulation Name: Electrosurgical cutting and coagulation device and accessories

Product Code: 79 GEI

Regulation Number: 21 CFR 884.1730

Regulation Name: Laparoscopic insufflator

Product Code: 85 HIF

Regulation Number: 21 CFR 892.1560

Regulation Name: Ultrasonic pulsed echo imaging system

Product Code: 90 IYO

Regulation Number: 21 CFR 21 CFR 892.1570

Regulation Name: Diagnostic ultrasonic transducer

Product Code: 90 ITX

Regulation Number: Unclassified

Product Code: 90 LFL